

AUDIT COMMITTEE



**CLEVELAND
POLICE**
Putting People First

Professional Standards Department

Audit Committee Report

24th May 2018

Reference Number:	May-18
Prepared By:	DC Mark Leadbitter
Date:	24th May 2018

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Period 01/12/2017 – 30/04/2018

There has been a increase in the number of Cases recorded during this period (**177 to 199**), with a increase in allegations (**234 to 344**), when compared to the same period in the previous year.

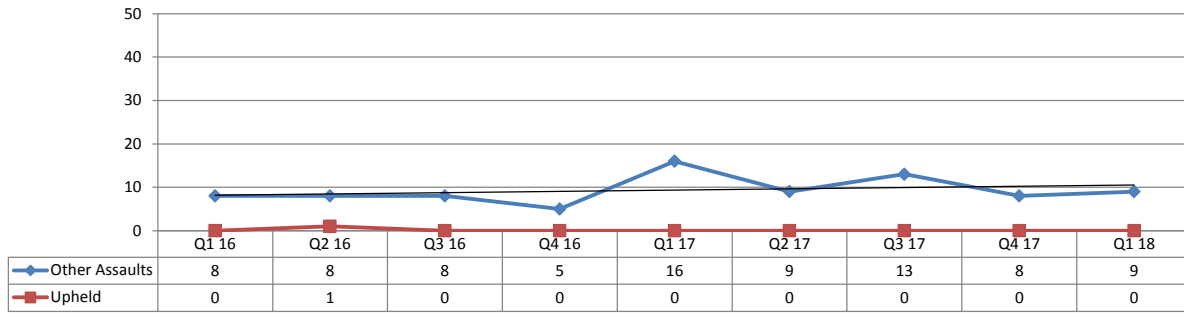
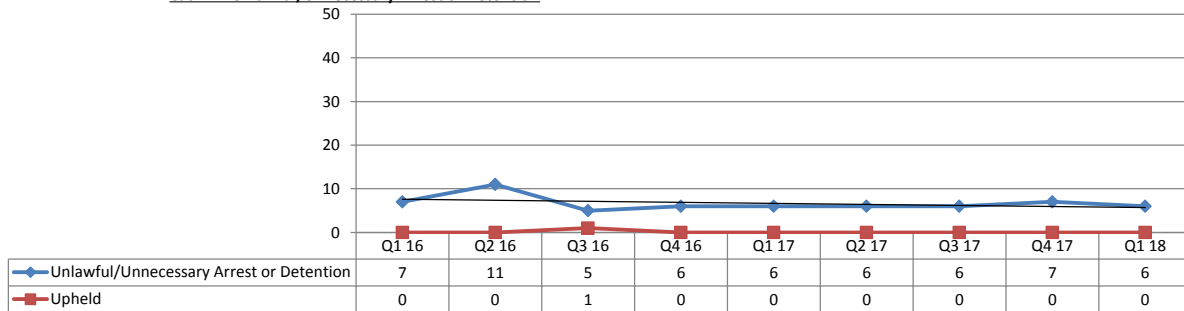
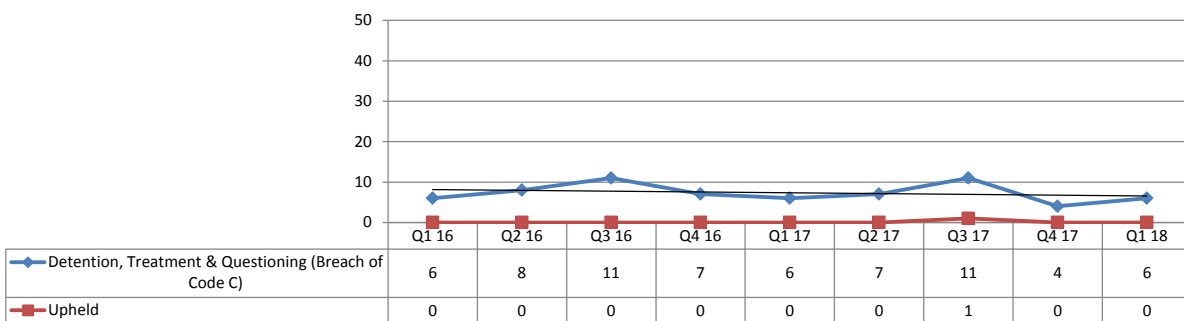
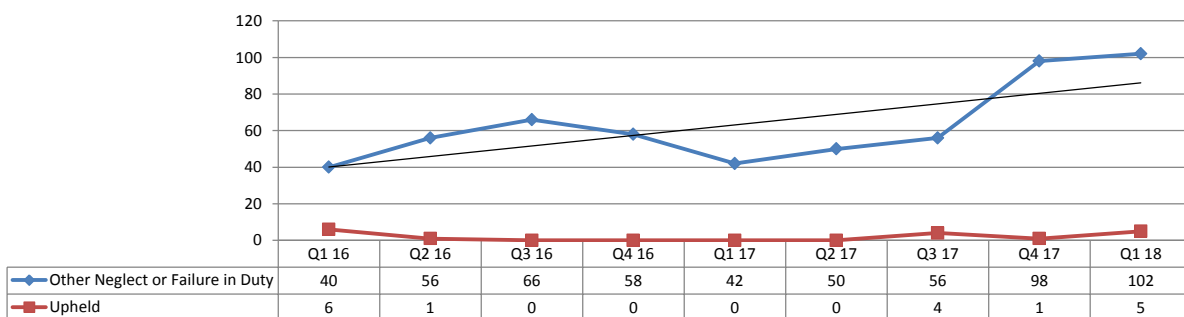
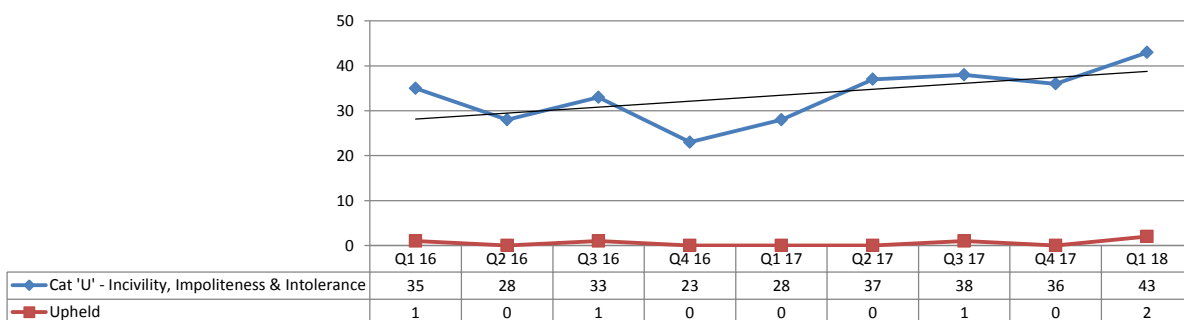
The Control Strategy Priorities for 2018/19 are the following Complaint categories:

- Category 'C' – Other Assault
- Category 'S' – Other Neglect / Failure in duty
- Category 'U' – Incivility, Impoliteness & Intolerance

In the period 01/12/2017 – 30/04/2018:

- Complaints of Other Assault (Category C) have decreased by **2** compared to the same period in the previous year, decreasing from **20** to **18**. The majority of assault complaints are linked to the arrest of the complainant. A complaint, for example, that handcuffs have been applied too tightly would fit this category.
- Complaints of Other Neglect or Failure in Duty (Category 'S') have increased by **66** compared to the same period in the previous year, increasing from **69** to **135**. The overriding theme for the Force in respect of Neglect complaints is crime enquiries.
- Complaints of Incivility (Category 'U') have increased by **11** compared to the same period in the previous year, increasing from **54** to **65**.

Issues inside of the Control Strategy & Categories to Monitor

Cat 'C' - Other AssaultCat 'E' - Unlawful/Unnecessary Arrest or DetentionCat 'M' - Detention, Treatment & Questioning (Breach of Code C)Cat. 'S' - Other Neglect or Failure in DutyCat 'U' - Incivility, Impoliteness & Intolerance

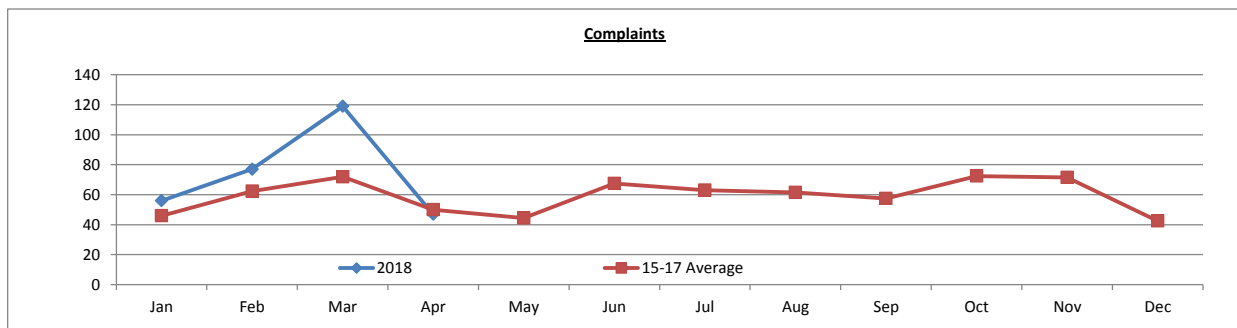
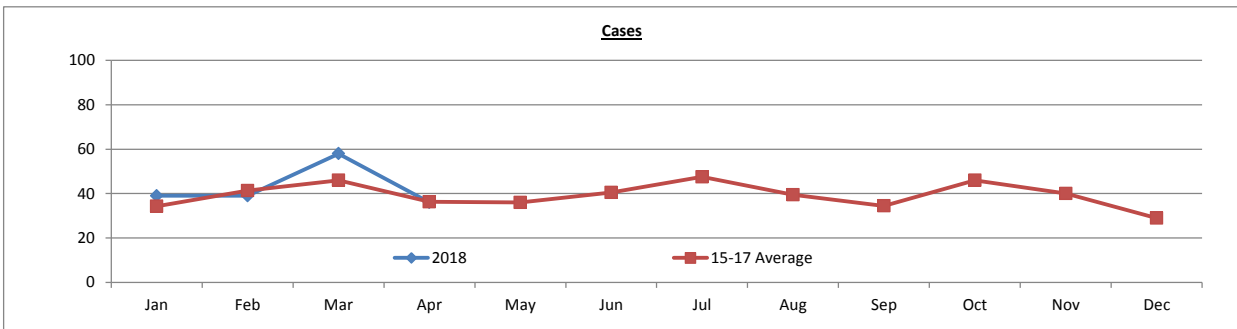
Complaint Cases & Complaints Recorded By Month

Complaint Cases Recorded by Month

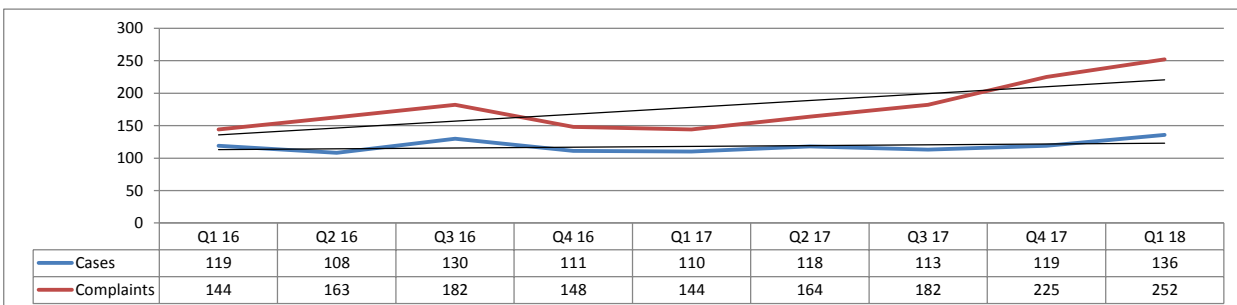
Cases	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Month Av.	Jan-Dec Tot.
2016	31	50	38	37	33	38	48	47	35	42	40	29	39.0	468
2017	33	35	42	36	39	43	47	32	34	50	40	29	38.3	460
2018	39	39	58	36									43.0	172
15-17 Average	34	41	46	36	24	41	48	40	35	46	40	29	39.3	471

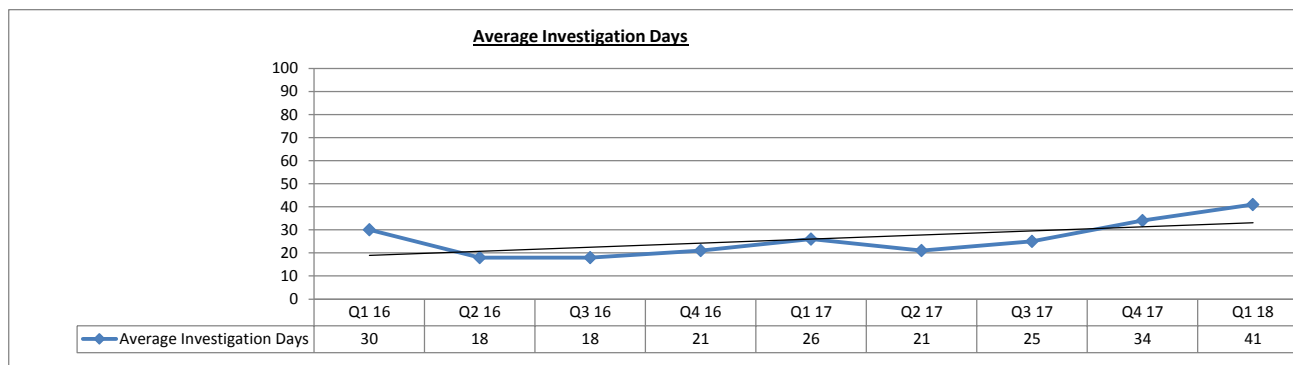
Complaints Recorded by Month

Complaints	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Month Av.	Jan-Dec Tot.
2016	36	67	42	52	39	72	60	79	43	51	61	36	53.2	638
2017	46	43	55	51	50	63	66	44	72	94	82	49	59.6	715
2018	56	77	119	47									74.8	299
15-17 Average	46	62	72	50	45	68	63	62	58	73	72	43	59.2	711

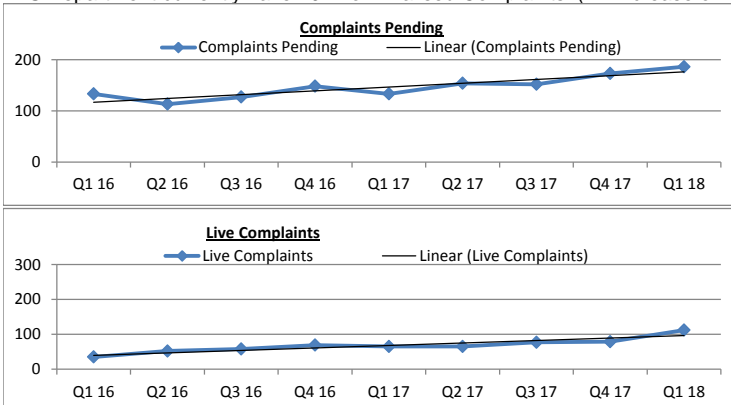


Recorded Case & Complaints by Quarter



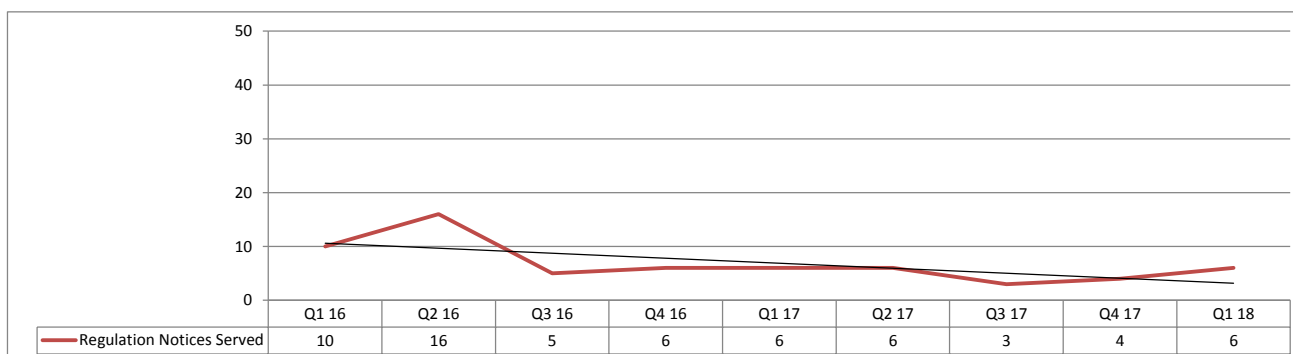
Investigation Days**Live Complaint Cases - Investigation Days**

P.S.Department currently have 192 non-finalised Complaints. (An increase of 12 complaints since 23/11/17)



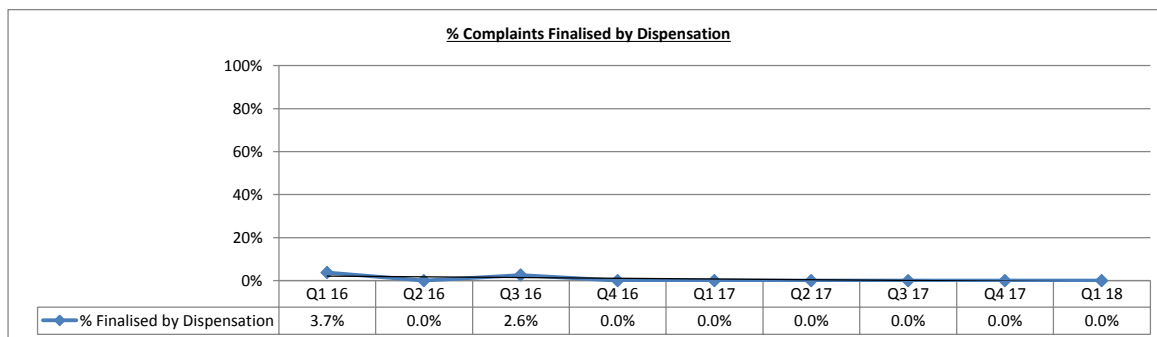
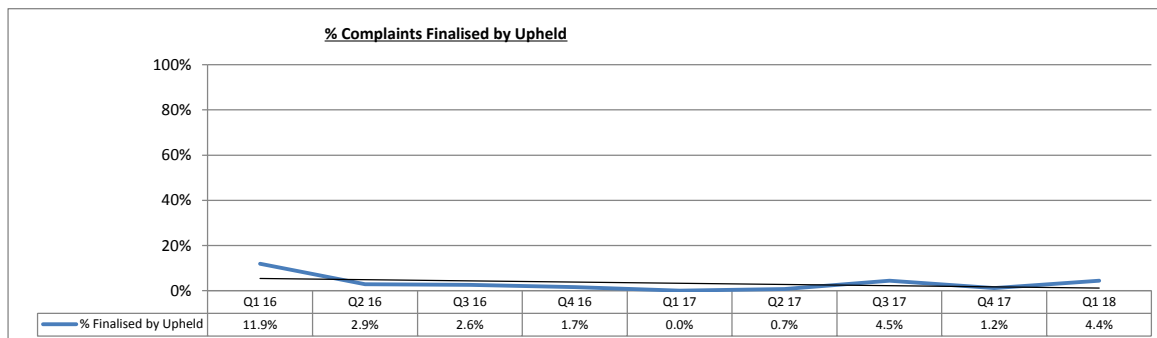
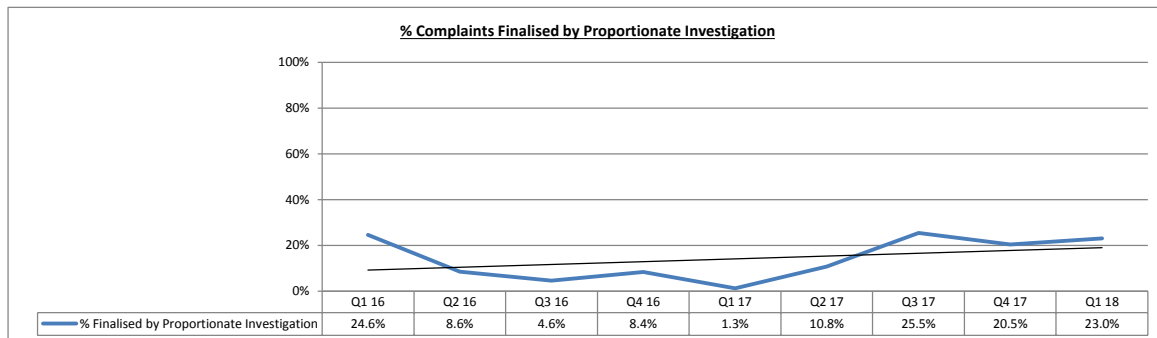
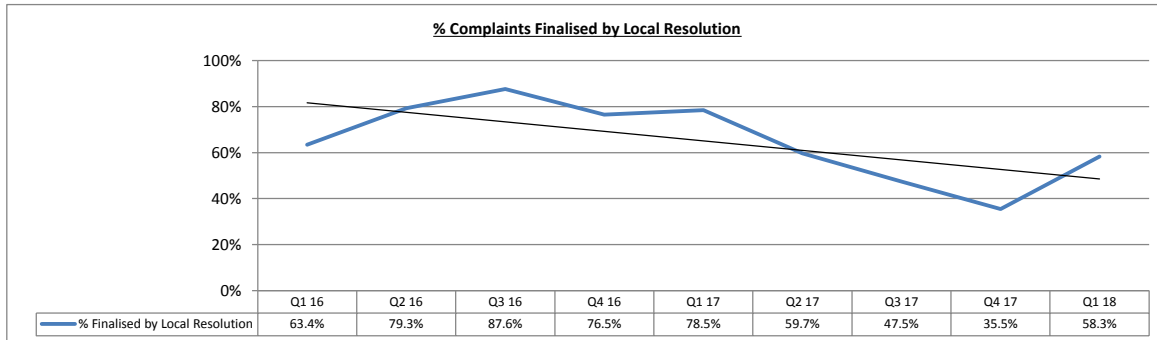
Of these:

- > **9** Complaints are subject of an appeal
 - > **0** Complaints where an appeal has been upheld
 - > **57** complaints are currently at the point where the investigation has been stopped and a letter has been sent to the Complainant and the file is in the 28 day period where an appeal may be lodged, or an appeal has been lodged
 - > **19** Complaints are currently sub-judice
- The remaining **107** complaints are live (**21** more than the number of complaints compared to the previous period)

Regulation Notices Served by Quarter

Complaints Finalisation Trends

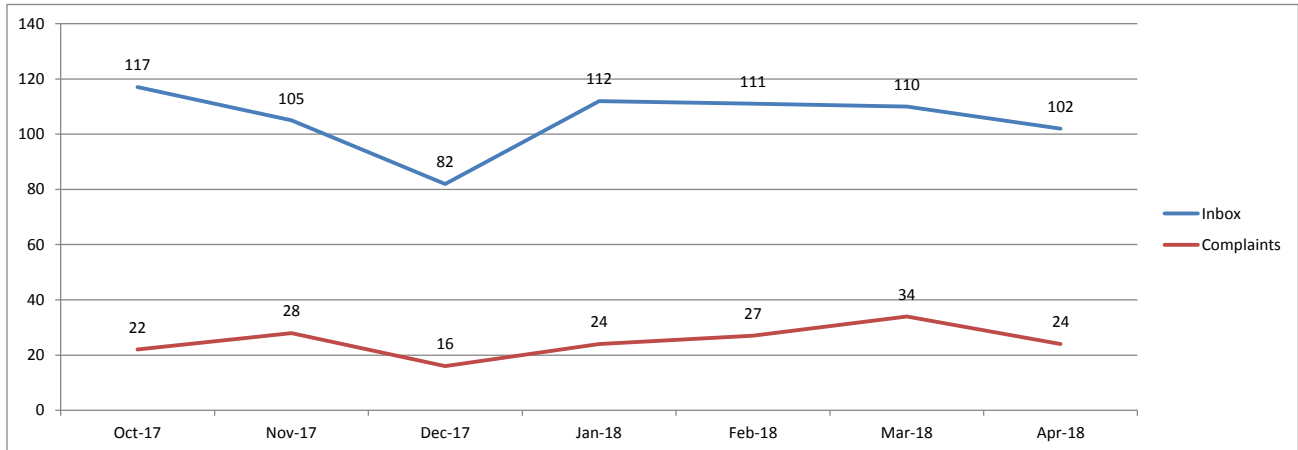
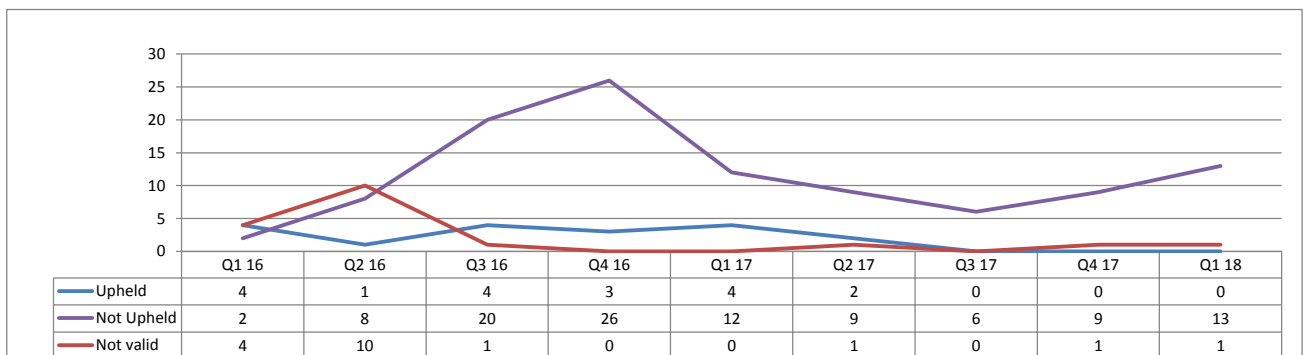
	Q1 16	Q2 16	Q3 16	Q4 16	Q1 17	Q2 17	Q3 17	Q4 17	Q1 18
LR By Dist / Dept	0	0	0	0	1	0	2	0	0
LR By PSD	85	111	134	91	116	83	93	59	119
All Means	134	140	153	119	149	139	200	166	204
% Finalised by Local Resolution	63.4%	79.3%	87.6%	76.5%	78.5%	59.7%	47.5%	35.5%	58.3%



Source: Centurion Complaints System Data via Xanalysis

Triage Team - Local Resolution Summary

Month	Inbox	Complaints	%
Oct-17	117	22	19%
Nov-17	105	28	27%
Dec-17	82	16	20%
Jan-18	112	24	21%
Feb-18	111	27	24%
Mar-18	110	34	31%
Apr-18	102	24	24%

**Appeals to the Force regarding the outcome of Local Resolution**

Appeals to the IPCC regarding the outcome of a complaint**Outcome of a Police Investigation**

	Q1 16	Q2 16	Q3 16	Q4 16	Q1 17	Q2 17	Q3 17	Q4 17	Q1 18	Roll 12 Months
Upheld	0	0	0	0	0	0	0	0	0	0
Not Upheld	0	0	0	0	0	0	0	0	0	0
Not valid	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

Non Recording of a Complaint

	Q1 16	Q2 16	Q3 16	Q4 16	Q1 17	Q2 17	Q3 17	Q4 17	Q1 18	Roll 12 Months
Upheld	3	2	1	1	3	0	0	0	1	1
Not Upheld	0	1	2	3	1	1	2	0	3	6
Not valid	0	0	0	1	0	0	0	0	0	0
Total	3	3	3	5	4	1	2	0	4	7

Local Resolution Process

	Q1 16	Q2 16	Q3 16	Q4 16	Q1 17	Q2 17	Q3 17	Q4 17	Q1 18	Roll 12 Months
Upheld	0	0	0	0	0	0	0	0	0	0
Not Upheld	0	0	0	0	0	0	0	0	0	0
Not valid	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

Other

	Q1 16	Q2 16	Q3 16	Q4 16	Q1 17	Q2 17	Q3 17	Q4 17	Q1 18	Roll 12 Months
Upheld	0	0	0	0	0	0	0	0	0	0
Not Upheld	0	2	5	0	0	0	1	0	0	1
Not valid	0	0	0	0	0	0	0	0	0	0
Total	0	2	5	0	0	0	1	0	0	1

Total

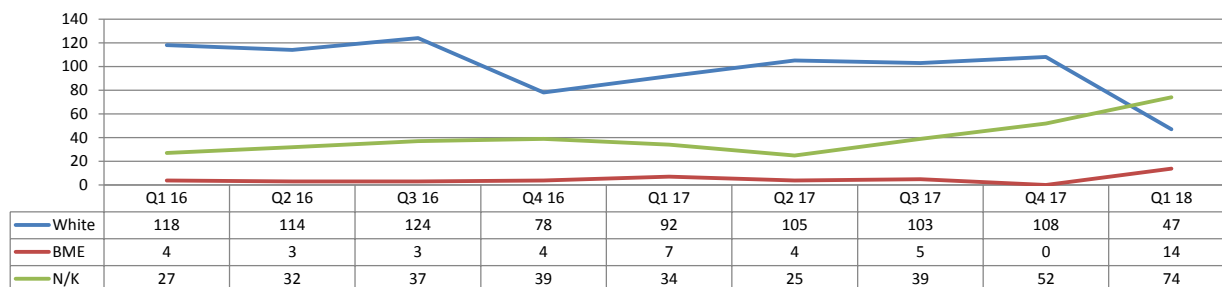
	Q1 16	Q2 16	Q3 16	Q4 16	Q1 17	Q2 17	Q3 17	Q4 17	Q1 18	Roll 12 Months
Upheld	3	2	1	1	3	0	0	0	1	1
Not Upheld	0	3	7	3	1	1	3	0	3	7
Not valid	0	0	0	1	0	0	0	0	0	0
Total	3	5	8	5	4	1	3	0	4	8

Suspensions

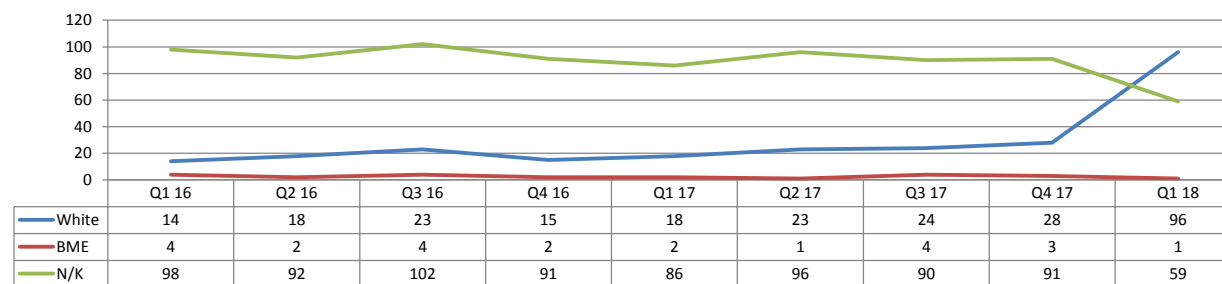
Allegation Type	Police Officer/Staff	Date Suspended	Date Suspension Ended	Outcome
09 Discreditable Conduct	Police Officer	02/03/2018		Live
Corrupt Practice	Police Officer	13/04/2016	15/02/2018	No Case to Answer
09 Discreditable Conduct	Police Officer	10/11/2017	14/02/2018	Dismissed
07 Confidentiality	Police Staff	04/12/2017		Live
09 Discreditable Conduct	Police Staff	12/01/2018		Live

Ethnicity of Subjects / Complainants attached to Recorded Complaints**Subjects Self Class Ethnicity attached to Recorded Complaints**

	Q1 16	Q2 16	Q3 16	Q4 16	Q1 17	Q2 17	Q3 17	Q4 17	Q1 18	Roll 12 Months
White	118	114	124	78	92	105	103	108	47	363
BME	4	3	3	4	7	4	5	0	14	23
N/K	27	32	37	39	34	25	39	52	74	190
Total	149	149	164	121	133	134	147	160	135	576
% BME	2.7	2.0	1.8	3.3	5.3	3.0	3.4	0.0	10.4	4.0

Subjects Self Class Ethnicity**Complainants Self Class Ethnicity attached to Recorded Complaints**

	Q1 16	Q2 16	Q3 16	Q4 16	Q1 17	Q2 17	Q3 17	Q4 17	Q1 18	Roll 12 Months
White	14	18	23	15	18	23	67	28	96	171
BME	4	2	4	2	2	1	4	3	1	9
N/K	98	92	102	91	86	96	90	91	59	336
Total	116	112	129	108	106	120	132	122	156	516
% BME	3.4	1.8	3.1	1.9	1.9	0.8	3.4	2.5	0.6	1.7

Complainants Self Class Ethnicity

Complaints Comparison Against Same Period in Previous Year

Complaints**Data Period:**

01/12/16 to 30/04/17

01/12/17 to 30/04/18

Change over prev. year

No.

%

Cases recorded

177

199

22

12%

Cases Finalised

174

182

8

4%

Cases Pending

146

183

37

25%

Cases Live & Active

192

192

#DIV/0!

Allegations Recorded

234

344

110

47%

Allegations Finalised

211

294

83

33%

Complaints Recorded by Category

01/12/16 to 30/04/17

01/12/17 to 30/04/18

Change over prev. year

No.

%

01 Operational Policing Policies

9

1

02 Organisational Decision

1

6

03 General policing Standards

2

6

04 Operational Management Decisions

0

1

A Serious Non Sexual Assault

0

3

3

#DIV/0!

B Sexual Assault

1

2

1

100%

C Other Assault

20

18

-2

-10%

D Oppressive Conduct/Harassment

4

8

4

100%

E Unlawful/Unnecessary Arrest or Detention

9

9

0

0%

F Discriminatory Behaviour

1

3

2

200%

G Irregularity -Evidence/Perjury

1

4

3

300%

H Corrupt Practice

7

2

-5

-71%

J Mishandling of Property

6

5

-1

-17%

K Stop & Search (Breach of Code A)

0

0

0

#DIV/0!

L Searching of Premises and Seizure of Property (Breach of Code B)

12

21

9

75%

M Detention, Treatment and Questioning (Breach of Code C)

9

8

-1

-11%

N Ident.Procedures (Br. of Code D)

0

0

0

#DIV/0!

P Tape Recording (Br. of Code E)

0

0

0

#DIV/0!

Q Lack of Fairness & Impartiality

7

15

8

114%

R Multiple or Unspecified Breaches

0

0

0

#DIV/0!

S Other Neglect or Failure in duty

69

135

66

96%

T Other Irregularity in Procedure

5

6

1

20%

U Incivility, Impoliteness & Intolerance

54

65

11

20%

V Traffic Irregularity

5

2

-3

-60%

W Other

7

11

4

57%

X Improper Disclosure of Information

5

13

8

160%

Y Other Sexual Conduct

0

0

0

#DIV/0!

Totals

234

344

110

47%

CONDUCTS**Data Period:**

01/12/16 to 31/05/17

01/12/17 to 31/05/18

Change over prev. year

No.

%

Conducts recorded

27

26

-1

-4%

Conducts Finalised

24

28

4

17%

Conducts Pending

27

21

-6

-22%

Allegations completed during the period 01/12/2017 to 31/05/2018

NUMBER OF ALLEGATIONS	(1) Upheld - by PSD	(2) Not Upheld - by PSD	(3) Local Resolution - by PCC	(3) Local Resolution by PSD	(4) Withdrawn by Force	(5) Disapplication - by Force	(5) Disapplication by PCC	(6) Discontinued - by Force	(6) Discontinued - by IPCC	Special Requirements	Total
01. Operational policing policies	0	0	1	0	0	0	0	0	0	0	1
02. Organisational decisions	0	1	0	4	0	0	0	0	0	0	5
03. General policing standards	0	0	1	2	0	0	0	0	0	0	3
04. Operational management decisions	0	0	0	1	0	0	0	0	0	0	1
A. Serious Non-sexual assault	0	1	0	0	0	0	0	0	0	0	1
B. Sexual assault	0	0	0	0	0	0	0	0	1	0	1
C. Other assault	0	9	1	7	1	0	0	0	0	0	18
D. Oppressive conduct or harassment	0	3	1	8	0	1	0	1	0	0	14
E. Unlawful/unnecessary arrest or detention	0	3	0	3	1	1	0	0	0	0	8
F. Discriminatory Behaviour	0	2	0	0	0	0	0	0	0	0	2
G. Irregularity in evidence/perjury	0	0	0	0	0	1	0	0	0	0	1
H. Corrupt practice	1	1	0	1	0	0	0	1	0	1	5
J. Mishandling of property	1	4	1	4	0	1	0	1	0	0	12
K. Breach Code A PACE	0	0	0	2	0	0	0	0	0	0	2
L. Breach Code B PACE	1	1	1	7	0	1	0	1	0	0	12
M. Breach Code C PACE	0	1	0	4	0	1	0	0	0	0	6
Q. Lack of fairness and impartiality	0	4	1	6	0	0	0	0	0	0	11
R. Multiple or unspecified breaches in PACE	0	0	0	1	0	0	0	0	0	0	1
S. Other neglect or failure in duty	5	19	13	70	1	12	0	0	0	2	122
T. Other irregularity in procedure	0	1	1	1	0	5	2	0	0	0	10
U. Incivility, impoliteness and intolerance	2	1	4	28	1	3	0	0	0	2	41
V. Traffic irregularity	0	0	0	1	0	0	0	0	0	0	1
W. Other	0	0	0	1	0	0	0	1	0	0	2
X. Improper disclosure of information	0	1	1	8	1	1	0	1	0	0	13
Y. Other Sexual Conduct	0	0	0	0	0	1	0	0	0	0	1
	10	52	26	159	5	28	2	6	1	5	294