

AUDIT COMMITTEE



**CLEVELAND
POLICE**
Putting People First

Professional Standards Department

Audit Committee Report

30th November 2016

Reference Number:	Dec-16
Prepared By:	DC Mark Leadbitter
Date:	30th November 2016

This document contains data in an abridged format and may contain 'Sensitive Material' as defined in the Attorney General's guidelines for the disclosure of "Unused Material".

No part of this document may be copied or disclosed without prior reference to Supt. PSD.

Period 01/06/2016 – 30/11/2016

There has been a decrease of **99** in the number of Cases recorded during this period (**348 to 249**), with a decrease in allegations of 97 (**462 to 365**), when compared to the same period in the previous year.

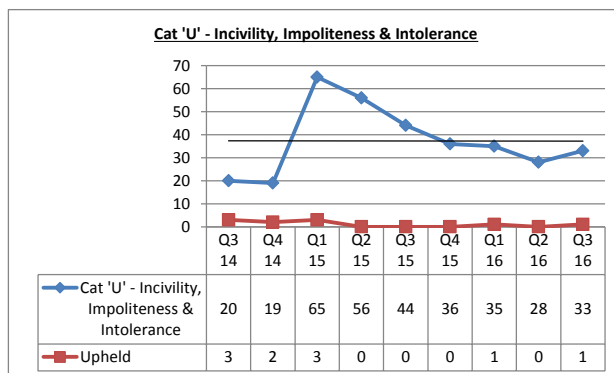
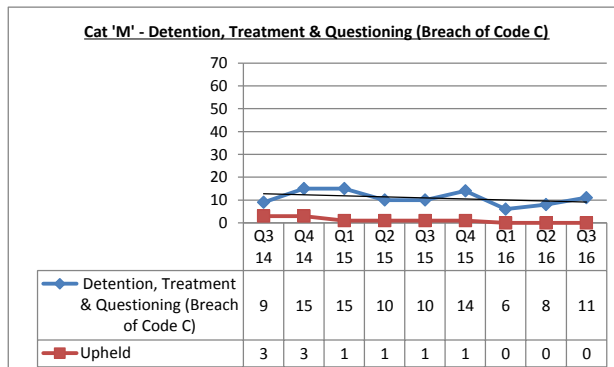
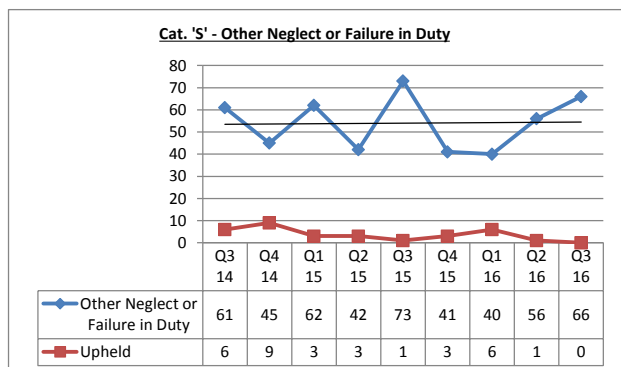
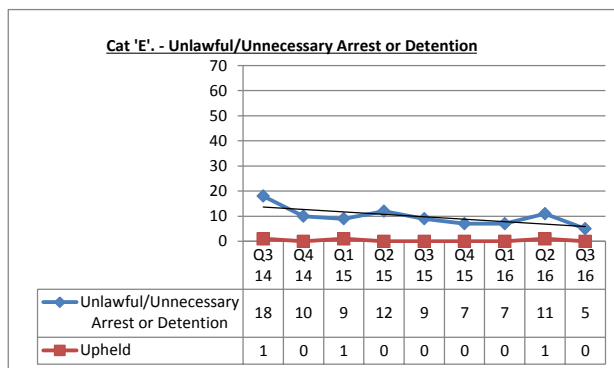
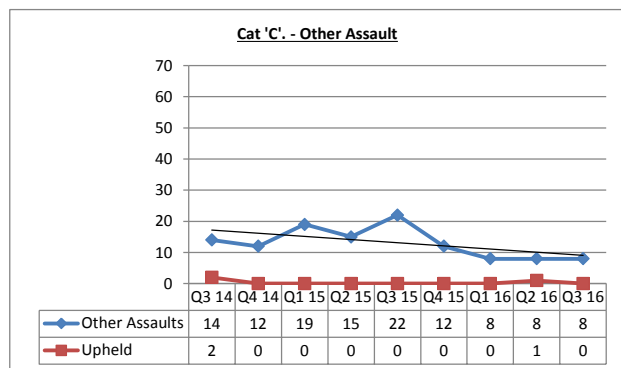
The Control Strategy Priorities for 2016/17 are the following Complaint categories:

- Category 'C' – Other Assault
- Category 'S' – Other Neglect / Failure in duty
- Category 'U' – Incivility, Impoliteness & Intolerance

In the period 01/06/2016 – 30/11/2016:

- Complaints of Other Assault (Category C) have decreased by **22** compared to the same period in the previous year, decreasing from **36 to 14**. The majority of assault complaints are linked to the arrest of the complainant. A complaint, for example, that handcuffs have been applied too tightly would fit this category.
- Complaints of Other Neglect or Failure in Duty (Category 'S') have increased by **2** compared to the same period in the previous year, rising from **126 to 128**. The overriding theme for the Force in respect of Neglect complaints is crime enquiries.
- Complaints of Incivility (Category 'U') have decreased by **30** compared to the same period in the previous year, decreasing from **89 to 59**.

Issues inside of the Control Strategy & Categories to Monitor



Complaint Cases & Complaints Recorded By Month Against 2014 TO 2016 Averages

Complaint Cases Recorded by Month

Cases	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2014	36	30	42	24	35	32	45	30	35	35	26	37
2015	57	69	75	64	47	68	75	51	63	52	40	31
2016	31	50	38	37	33	38	48	47	35	42	39	
13-15 Average	41	50	52	42	38	46	56	43	44	43	35	34

Month Av.
33.9
57.7
39.8
43.6

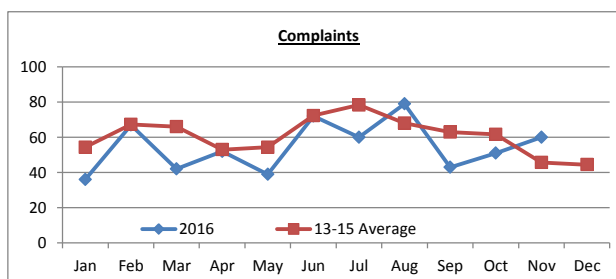
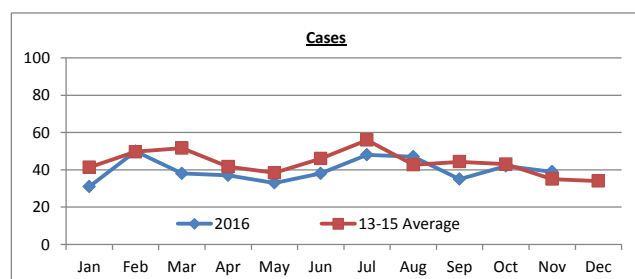
Jan-Dec Tot.
407
692
438
524

Complaints Recorded by Month

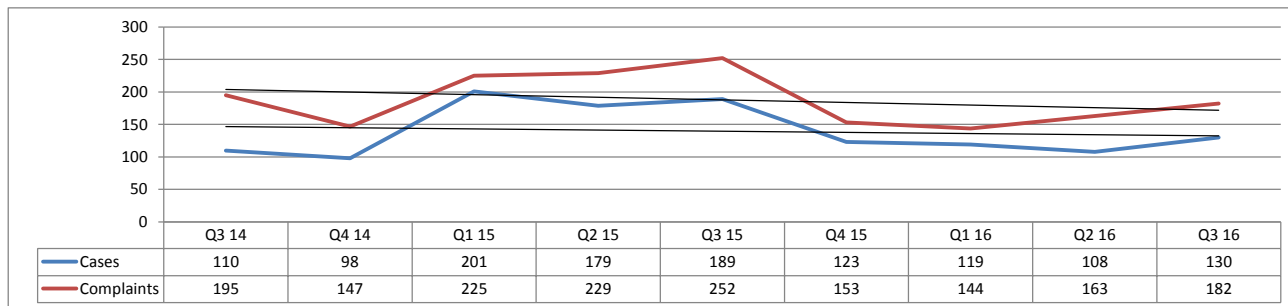
Complaints	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2014	61	57	75	32	62	53	83	56	56	62	29	56
2015	66	78	81	75	62	92	92	69	90	72	48	33
2016	36	67	42	52	39	72	60	79	43	51	60	
13-15 Average	54	67	66	53	54	72	78	68	63	62	46	45

Month Av.
56.8
71.5
54.6
60.7

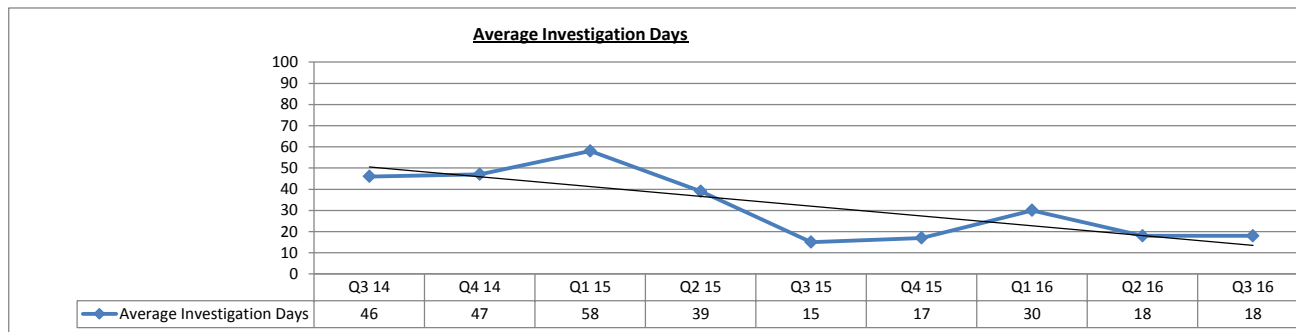
Jan-Dec Tot.
682
858
601
729



Recorded Case & Complaints by Quarter

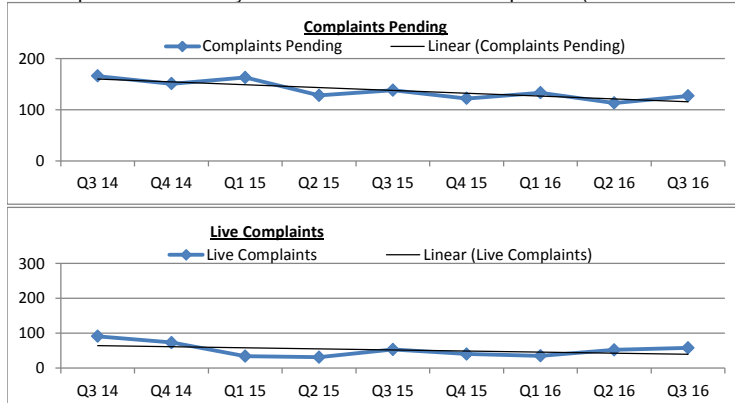


Investigation Days



Live Complaint Cases - Investigation Days

P.S.Department currently have 146 non-finalised Complaints. (An increase of 44 complaints since 30/11/16)

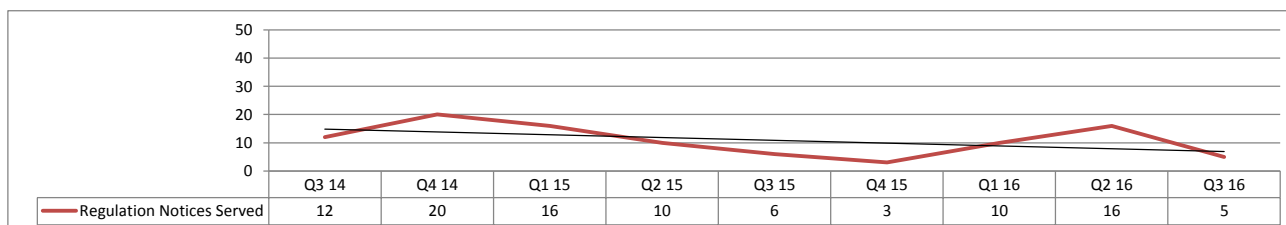


Of these:

- > 15 Complaints are subject of an appeal
 - > 0 Complaints where an appeal has been upheld
 - > 51 complaints are currently at the point where the investigation has been stopped and a letter has been sent to the Complainant and the file is in the 28 day period where an appeal may be lodged, or an appeal has been lodged
 - > 17 Complaints are currently sub-judice
- The remaining **63** complaints are live (**39** less than the number of complaints compared to the previous period)

(Subjudice days is not included in investigation days)

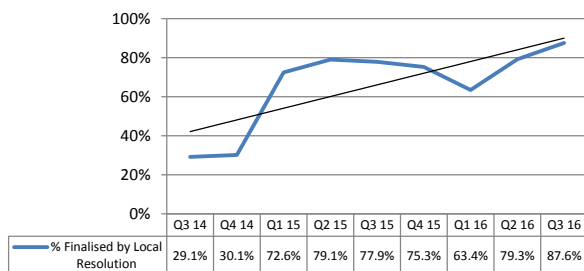
Regulation Notices Served by Quarter



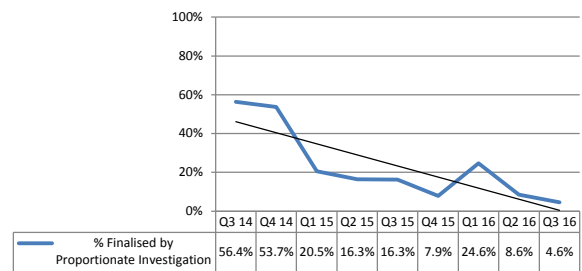
Complaints Finalisation Trends

	Q3 14	Q4 14	Q1 15	Q2 15	Q3 15	Q4 15	Q1 16	Q2 16	Q3 16
LR By Dist / Dept	32	33	16	5	7	1	0	0	0
LR By PSD	32	32	140	207	197	133	85	111	134
All Means	220	216	215	268	262	178	134	140	153
% Finalised by Local Resolution	29.1%	30.1%	72.6%	79.1%	77.9%	75.3%	63.4%	79.3%	87.6%

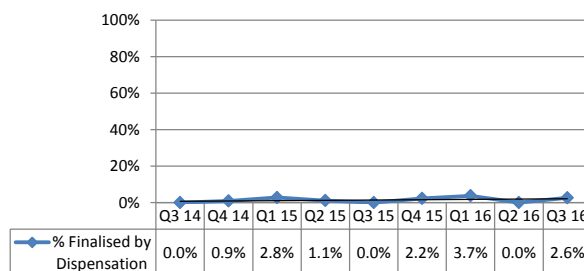
% Complaints Finalised by Local Resolution



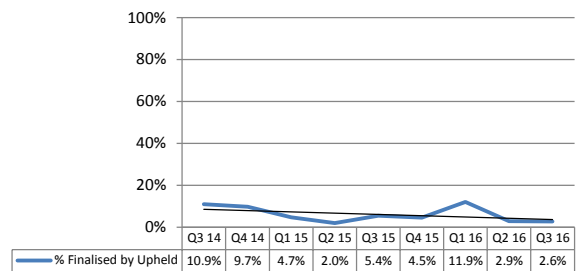
% Complaints Finalised by Proportionate Investigation



% Complaints Finalised by Dispensation



% Complaints Finalised by Upheld

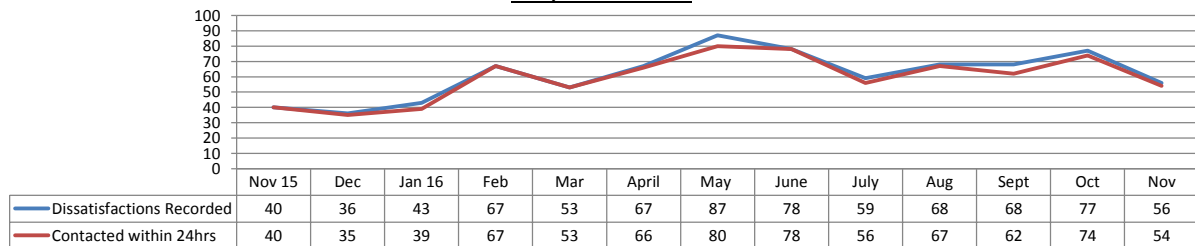


Source: Centurion Complaints System Data via Xanalysis

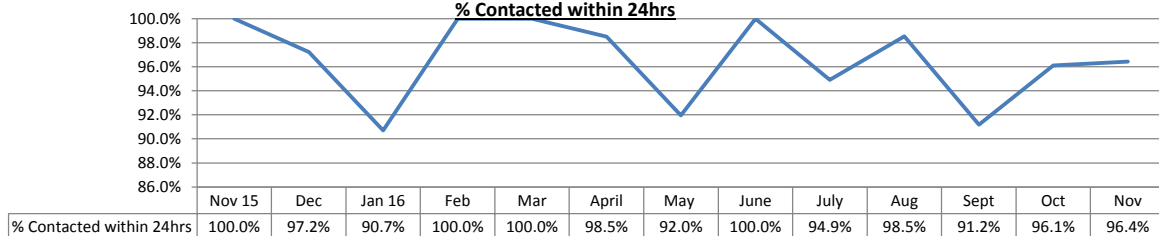
Traige Team - Local Resolution Summary

Date	Nov 15	Dec	Jan 16	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Average		Total
Dissatisfactions Recorded	40	36	43	67	53	67	87	78	59	68	68	77	56		61.5		799
Contacted within 24hrs	40	35	39	67	53	66	80	78	56	67	62	74	54		59.3		771
% Contacted within 24hrs	100.0%	97.2%	90.7%	100.0%	100.0%	98.5%	92.0%	100.0%	94.9%	98.5%	91.2%	96.1%	96.4%		96.5%		

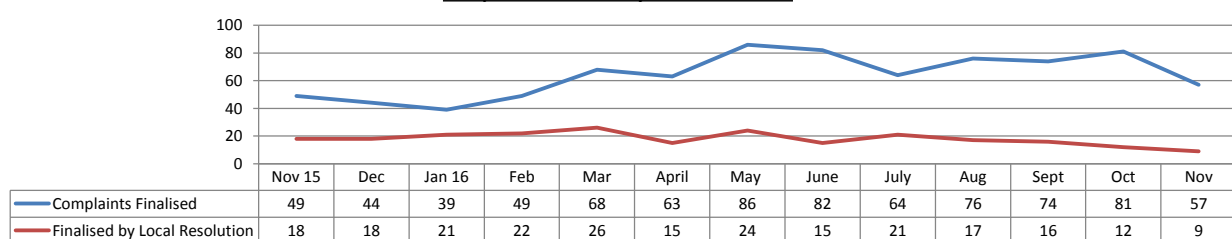
Complaints Actioned



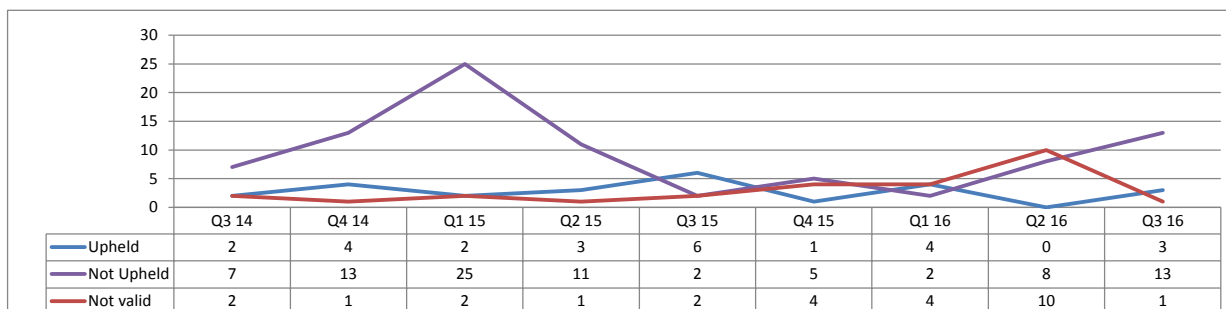
% Contacted within 24hrs



Complaints Finalised by Local Resolution

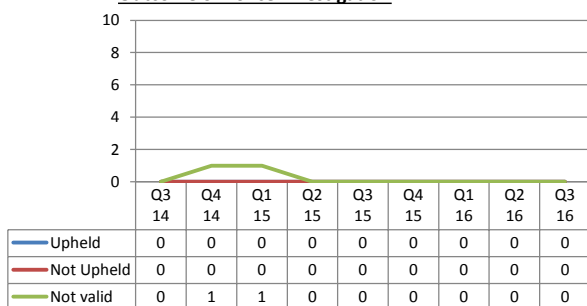


Appeals to the Force regarding the outcome of Local Resolution

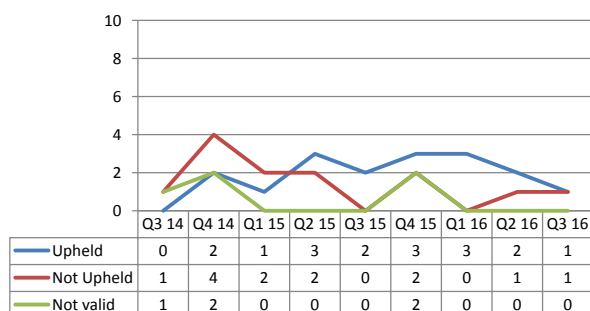


Appeals to the IPCC regarding the outcome of a complaint

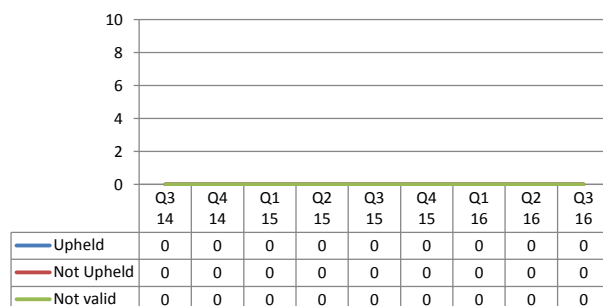
Outcome of Police investigation



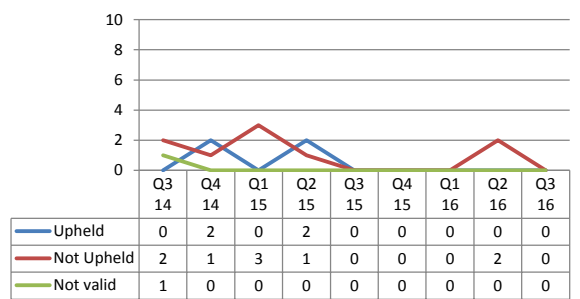
Non Recording of a Complaint



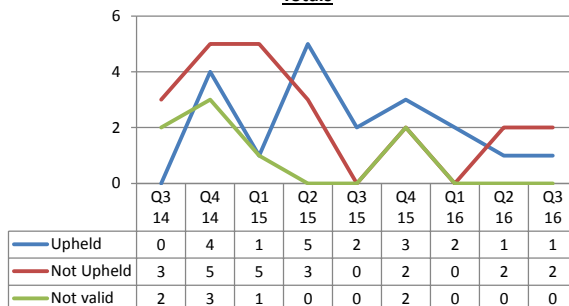
Local Resolution Process



Other



Totals



Suspensions

During the period, there have been 8 suspensions:

Police Officer	6
Support Staff	2
PCSO	0
Total	8

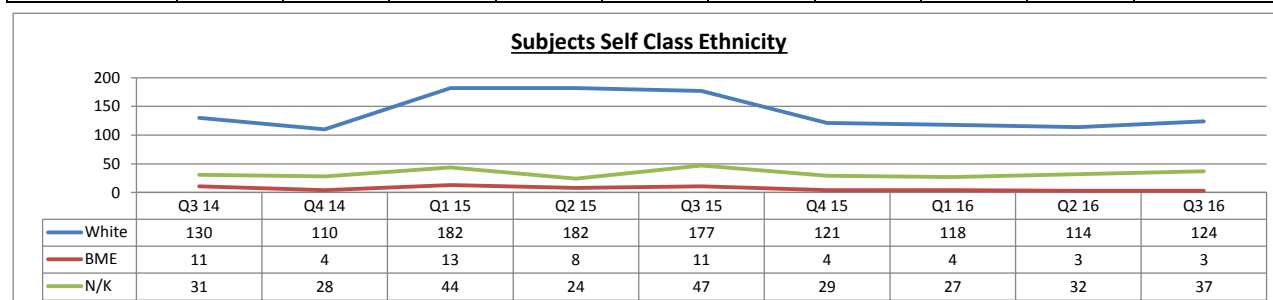
There are currently 8 Police Officers suspended, under the following allegations:

Discreditable conduct	6
Criminal Conduct	1
Honesty & Integrity	1

Ethnicity of Subjects / Complainants attached to Recorded Complaints

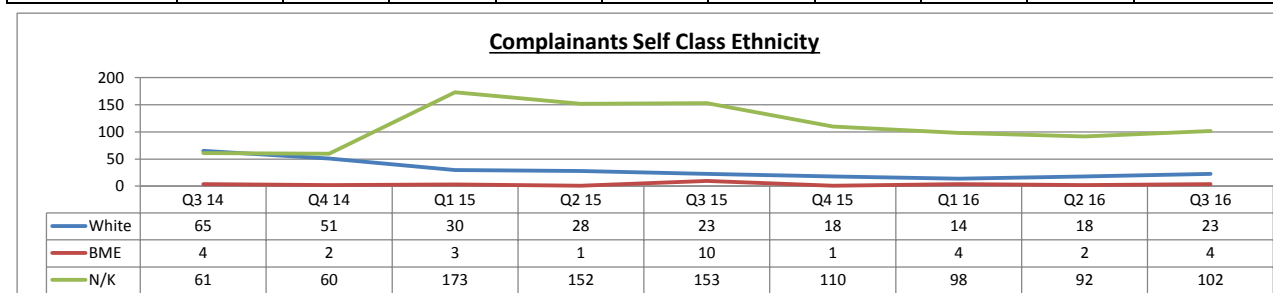
Subjects Self Class Ethnicity attached to Recorded Complaints

	Q3 14	Q4 14	Q1 15	Q2 15	Q3 15	Q4 15	Q1 16	Q2 16	Q3 16	Roll 12 Months
White	130	110	182	182	177	121	118	114	124	477
BME	11	4	13	8	11	4	4	3	3	14
N/K	31	28	44	24	47	29	27	32	37	125
Total	172	142	239	214	235	154	149	149	164	616
% BME	6.4	2.8	5.4	3.7	4.7	2.6	2.7	2.0	1.8	2.3



Complainants Self Class Ethnicity attached to Recorded Complaints

	Q3 14	Q4 14	Q1 15	Q2 15	Q3 15	Q4 15	Q1 16	Q2 16	Q3 16	Roll 12 Months
White	65	51	30	28	23	18	67	18	23	73
BME	4	2	3	1	10	1	4	2	4	11
N/K	61	60	173	152	153	110	98	92	102	402
Total	130	113	206	181	186	129	132	112	129	486
% BME	3.1	1.8	1.5	0.6	5.4	0.8	3.4	1.8	3.1	2.3



Stop/Search

No complaints in relation to stop/search recorded during period 01/06/2016 to 30/11/2016

Complaints Comparison Against Same Period in Previous Year

<u>Complaints</u>		Data Period:		Change over prev. year	
		01/06/15 to 30/11/15	01/06/16 to 30/11/16	No.	%
Cases recorded		348	249	-99	-28%
Cases Finalised		348	203	-145	-39%
Cases Pending		144	139	-5	-3%
Cases Live & Active		147	146	-1	-1%
Allegations Recorded		462	365	-97	-21%
Allegations Finalised		476	276	-200	-39%

<u>Complaints Recorded by Category</u>		01/06/15 to 30/11/15	01/06/16 to 30/11/16	Change over prev. year	
				No.	%
01	Operational Policing Policies	5	7		
02	Organisational Decision	6	1		
03	General policing Standards	8	3		
04	Operational Management Decisions	2	4		
A	Serious Non Sexual Assault	3	2	-1	-33%
B	Sexual Assault	0	0	0	0%
C	Other Assault	36	14	-22	-61%
D	Oppressive Conduct/Harassment	19	12	-7	-37%
E	Unlawful/Unnecessary Arrest or Detention	18	15	-3	-17%
F	Discriminatory Behaviour	8	4	-4	-50%
G	Irregularity -Evidence/Perjury	4	4	0	0%
H	Corrupt Practice	8	7	-1	-13%
J	Mishandling of Property	19	9	-10	-53%
K	Stop & Search (Breach of Code A)	1	0	-1	-100%
L	Searching of Premises and Seizure of Property (Breach of Code B)	18	8	-10	-56%
M	Detention, Treatment and Questioning (Breach of Code C)	27	21	-6	-22%
N	Ident.Procedures (Br. of Code D)	0	0	0	0%
P	Tape Recording (Br. of Code E)	0	0	0	0%
Q	Lack of Fairness & Impartiality	11	20	9	82%
R	Multiple or Unspecified Breaches	0	0	0	0%
S	Other Neglect or Failure in duty	126	128	2	2%
T	Other Irregularity in Procedure	22	10	-12	-55%
U	Incivility, Impoliteness & Intolerance	89	59	-30	-34%
V	Traffic Irregularity	9	6	-3	-33%
W	Other	17	12	-5	-29%
X	Improper Disclosure of Information	5	18	13	260%
Y	Other Sexual Conduct	1	1	0	0%
Totals		462	365	-97	-21%

<u>CONDUCTS</u>		Data Period:		Change over prev. year	
		01/12/14 to 31/05/15	01/12/15 to 31/05/16	No.	%
Conducts recorded		36	20	-16	-44%
Conducts Finalised		23	23	0	0%
Conducts Pending		29	25	-4	-14%

Allegations completed during period 01/06/2016 to 30/11/2016

	NUMBER OF ALLEGATIONS	(1) Upheld - by PSD	(2) Not Upheld - by PSD	(3) Local Resolution - by PSD	(4) Withdrawn - by Force	(5) Disapplication - by Force	(5) Disapplication - by IPCC	(6) Discontinued - by Force	(7) De Recorded	Total
01	Operational policing policies	0	0	8	0	0	0	0	0	8
02	Organisational decisions	0	0	2	0	0	0	0	0	2
02	General policing standards	0	0	2	0	0	0	0	0	2
04	Operational management decisions	0	0	4	0	0	0	0	0	4
A	Serious Non-sexual assault	1	0	1	0	0	0	1	0	3
B	Sexual Assault	0	0	0	0	0	0	0	0	0
C	Other assault	1	5	8	1	1	0	1	0	17
D	Oppressive conduct or harassment	1	0	8	0	0	0	0	0	9
E	Unlawful/unnecessary arrest or detention	0	0	12	1	0	0	0	1	14
F	Discriminatory Behaviour	1	0	4	0	1	0	0	0	6
G	Irregularity -Evidence/Perjury	0	0	0	0	0	0	0	0	0
H	Corrupt practice	1	0	4	1	0	1	0	0	7
J	Mishandling of property	1	1	6	0	0	0	0	0	8
K	Stop & Search (Breach of Code A)	0	0	0	0	0	0	0	0	0
L	Breach Code B PACE	0	0	2	0	0	0	1	0	3
M	Breach Code C PACE	0	0	20	0	1	0	0	0	21
N	Ident.Procedures (Br. of Code D)	0	0	0	0	0	0	0	0	0
P	Tape Recording (Br. of Code E)	0	0	0	0	0	0	0	0	0
Q	Lack of fairness and impartiality	0	0	7	0	0	0	0	0	7
R	Multiple or Unspecified Breaches	0	0	0	0	0	0	0	0	0
S	Other neglect or failure in duty	0	2	78	4	4	0	2	1	91
T	Other irregularity in procedure	0	0	3	0	0	0	0	0	3
U	Incivility,impoliteness and intolerance	1	0	52	1	2	0	1	0	57
V	Traffic irregularity	0	0	1	0	0	0	0	0	1
W	Other	0	1	2	0	0	0	0	0	3
X	Improper disclosure of information	1	0	8	1	0	0	0	0	10
Y	Other Sexual Conduct	0	0	0	0	0	0	0	0	0
	Total	8	9	232	9	9	1	6	2	276