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**Report of the Chief Constable to the Chair and Members of the Audit Committee**

**Executive & Presenting Officer: Mr Simon Hart, Chief Finance Officer**

**Status: For information**

**Draft Annual Governance Statement 2021/22**

**1. Purpose**

1.1 To provide Members with an update on amendments made to the Annual Governance Statement to reflect changes made as part of the annual review.

**2. Recommendations**

2.1 That Members note the content of this draft report.

2.2 That Members note that updates to sections covering Covid, Equality and Diversity, Performance, Wellbeing and Workforce are outstanding and will be updated by July 2022.

2.3 That Members note that a complete Annual Governance Statement will be reported in Q3 once any audit (internal and external) assurances have been reported to the Force.

**3. Background**

3.1 The Annual Governance Statement (AGS) is reviewed and submitted on an annual basis to reflect any changes in legislation, governance or terminology that may be relevant.

3.2 The AGS has been reviewed by the Corporate Services Manager, the Strategic Finance Manager and the Planning and Governance Officer, to ensure continuing accuracy and relevance and a number of changes have been made.

3.3 A summary of the amendments that have been made is shown below:

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| **Para** | **Section** | **Change** |
| 5.1 | Overview of HMICFRS PEEL Inspection findings and initial response | Updates to current outstanding figures |
| 5.2 | Workstreams to HMICFRS PEEL report | Narrative updated |
| 5.3 | Change Programme | Narrative updated |
| 5.4 | Workstreams | Status of workstreams updated |
| 6.8 | Financial Performance and Financial Position | Figures updated in relation to COVID 19 spending and underspending. |
| 7.3 | Standards and Ethics | Operational Ethics Lead changed from Inspector to Sergeant |
| 7.4 | South Wales Review | Status updated |
| 7.5 | DSE projects and initiatives | Prevent Officer role status updated |
| 7.6 | Ethical Leadership Survey | Narrative updated – recommendations now embedded into the Force |
| 7.9 | Standards and Ethics Board | Lessons learned data updated |
| 7.15 | Independent Ethics Committee | Meeting frequency updated |
| 7.17 | Policy | Publication date added |
| 7.20 | Policy | Publication date added and amended to current position |
| 8.8 | Community Engagement | Publication date added and amended to current position |
| 8.10 | Collaborative agreements | Number of agreements updated |
| 8.11 | CDSOU | Narrative update regarding de-collaboration |
| 8.12 | Evolve collaboration programme | Status updated |
| 8.16 | Local stakeholders | Meetings updated |
| 9.3 | Principle C | Update regarding STRA and demand analysis |
| 9.6 | Capital planning and business cases | Section re worded for clarity |
| 9.7 | The Change and Investment Board | Name changed from Futures board |
| 10.2 | Force Meeting Framework | Update regarding temporary arrangements |
| 10.3 | Strategic Planning Cycle | Updated to current position |
| 10.5 | Force Digital Policing Strategy and National Digital Programmes | Narrative updated |
| 10.13 | Planning Cycles | Planning timeline graphic added |
| 10.14 | Complaints, conduct, and death and serious injury (DSI) following police contact | The scheme of delegation planned review added |
| 11.6 – 11.8 | Leadership | Full narrative update on leadership programme |
| 11.9 – 11.11 | PDR Process | Full narrative update on PDR programme |
| 12.2 | Internal audits | Timeframe for next risk management audit added |
| 12.6 | Strategic contracts | Engie changed to Equans |
| 12.14 | Government Security Classification (GSC) | E Learning packages updated |
| 12.12 | Internal audits | List of audits updated for 2021-2022 and actions from outstanding audits updated |
| 12.14 | Government Security Classification (GSC) | E Learning packages updated |
| 12.17 | Meetings between the Data Protection Officer, Force Information Security Manager and the SIRO | Updated to include the Data Protection Officer attending regular meetings with the SIRO |
| 12.18 | Data Protection Impact Assessments | Title of Data Privacy Impact Assessments changed to Data Protection Impact Assessments |
| 12.21 | APP | Narrative added regarding compliance with the information Commissioner’s Toolkit on Transparency |
| 13.7 | Joint Independent Audit Committee | Meeting frequency updated |
| 15 | Governance Issues | Governance issues and areas for continued focus updated |

3.4 Members are asked to note that the amendments have been made within the draft AGS. An updated version of the Annual Governance Statement will be submitted for approval in Q3.

Mark Webster

Chief Constable