



**Report of the PCC CFO to the Chair and Members of the Joint Audit Committee
12th December 2023**

Presenting Officer: Mr Michael Porter, Chief Finance Officer

Status: For information

Internal Audit Recommendations Update Report – November 2023

1. Purpose

- 1.1 The purpose of this report is to provide Members of the Cleveland Audit Committee with a status update in relation to the Office of the Police and Crime Commissioner (OPCC) recommendations following internal audit reviews.

2. Recommendations

- 2.1 It is recommended that Members note the content of the report.

3. Background

- 3.1 During 2023, as part of the internal audit plan the OPCC have been subject to two internal audits: namely ***Commissioning*** and ***Police and Crime Plan***.
- 3.2 Both of these areas feature on the OPCC Strategic Risk Register, as a result the internal audits go a long way to supporting the management of and mitigation of risk.
- 3.3 Progress against the audit recommendations are discussed quarterly in internal governance meetings involving relevant OPCC team members. Quality assurance and accountability is further provided through the OPCC Senior Management Team Meetings – again on a quarterly basis.

4. Progress

- 4.1 The current OPCC internal audit tracker includes 16 recommendations. Of these recommendations 10 have been identified as complete by the OPCC and are highlighted as green on the action plan.

- 4.2 The remaining live actions continue to be progressed within the original delivery dates set - with the exception of one (Ref 1) – an update can be found in relation to this action at appendix 1.
- 4.3 All remaining live actions will continue to be progressed through the governance described above including report to Audit Committee members.

5. Implications

5.1 Finance

There are no financial implications arising from this report.

5.2 Diversity and Equal Opportunities

There are no diversity or equal opportunities implications arising from the content of this report.

5.3 Human Rights Act

There are no Human Rights Act implications arising from the content of this report.

5.4 Sustainability

There are no sustainability implications arising from this report.

5.5 Risk

Failure to deliver against the recommendations of the internal audits could significantly impact on the management of risk within the OPCC.

6. Conclusion

- 6.1 As can be seen at appendix 1, significant effort has been ongoing to fulfill the recommendations agreed following internal audits. With a culture of continuous improvement, the continued implementation of all audit actions will go a long way to support the delivery of the PCCs statutory responsibilities and the management of any associated strategic risks.

